

01-23-02

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JC658 PAT. & TRADE MARK COPYRIGHTS



01/18/02

ATTORNEY DOCKET: 14240

J1017 U.S. PTO
10/053176

01/18/02

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, D.C. 20231

EXPRESS MAIL NO: EL881625575US

Sir:

Transmitted herewith for filing is the patent application of
Inventor/Owner: MCKINLEY, Kevin L.

For: FLUID FILTRATION DEVICE

Enclosed are:

- ☒ 2 sheet(s) of informal drawings.
- ☐ An assignment of the invention to
- ☐ A certified copy of a application.
- ☒ Inventor claims small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☒ Declaration/Statement and Power of Attorney.
- ☒ Specification and Claims.
- ☐ ___ copies of prior art references listed on the PTO 1449 Form.

The filing fee has been calculated as shown below:

		SMALL ENTITY		OTHER THAN A SMALL ENTITY		
FOR:	(Col. 1) No. Filed	(Col. 2) No. Extra	Rate	Fee	Rate	Fee
BASIC FEE				\$ 370.00		\$
TOTAL CLAIMS	-20*		x 9=	\$	x18=	\$
INDEP CLAIMS	- 3*		x39=	\$	x78=	\$
[] MULTIPLE DEP. CLAIM PRESENTED			x130=	\$	x260=	\$
			Assignment	\$		\$

*If the difference in Col 1.

is less than zero, enter "0"
in Col 2.

TOTAL \$ 370.00

TOTAL \$

- ☐ Please charge my Deposit Account No. 02-2273 in the amount of \$.
- A duplicate copy of this sheet is enclosed.
- ☒ PTO Forms PTO-2038 (dup.) and PTO/SB/17 (dup.).
- ☒ The Commissioner is hereby authorized to charge any additional required fees associated with this communication or any fees arising during the pending of this application, or credit any overpayment to Account No. 02-2273. A duplicate copy of this sheet is enclosed.
- ☒ EXCEPT the issue fee set forth in 37 CFR 1.18 only upon the return of the executed Issue Fee Transmittal Form PTOL 85b, under 37 CFR 311(a) to the office.
- ☒ Non-Publication Request (37 C.F.R. §1.213)
- ☒ Post Card.

Date:

15 Jan 2002

THEODORE J. BIELEN, JR.
Reg. No. 27,420

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	15 January 02
First Named Inventor	McKINLEY
Examiner Name	
Group Art Unit	
Attorney Docket No.	14240

TOTAL AMOUNT OF PAYMENT

(\$) 370.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370.
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	THEODORE J. BIELEN, JR.	Registration No. (Attorney/Agent)	27,420	Telephone	(925) 937-1515
Signature		Date	15 Jan. 02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

J1017 U.S. PTO
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Atty Dkt: 14240

**CERTIFICATE OF MAILING BY
EXPRESS MAILING**

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I hereby certify that this correspondence is being deposited with the United States Postal Service as "EXPRESS MAIL" in an envelope addressed to BOX PATENT APPLICATION, U.S. PATENT AND TRADEMARK OFFICE, P.O. Box 2327, Arlington, VA 22202 on January 18, 2002. Express Mail No. EL881625575US.

By:


THEODORE J. BIELEN, JR.

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TGS

By:



THEODORE J. BIELEN, JR.

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